

## Recent Progress toward Surface Modification of Bone/Dental Implants with Titanium and Zirconia Dioxide Nanotubes

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### Abstract

Fabrication of titanium dioxide nanotubes (TNTs) and zirconia dioxide nanotubes (ZrNTs), through electrochemical anodization method on metal substrate, has shown great potential in biomedical purposes. As a modified surface, nanotubular surfaces promote cellular interaction compared with conventional flat or polished surfaces. In this study we review different aspects of improvements achieved by growing metal oxide nanotubes. ZrNTs and TNTs have been shown to be promising candidates for application as orthopedic or dental implants. This paper presents an overview of anodization techniques used to produce nanotubular structures (specifically TNTs), subsequent properties of these anodized surfaces, and eventually in vitro as well as in vivo biological responses pertinent to clinical applications.

**Keywords:** TiO<sub>2</sub>; Nanotube; ZrO<sub>2</sub> nanotube; Anodization; Drug loading; Drug release; Dental application; Orthopedics; Osseointegration; Cellular response; Cytotoxicity; Surface modification; Surface treatment; Bone implants; Dental Implants; Oral and maxillofacial implants.

### Introduction

Different categories of biomaterials have been employed to repair bone injuries including metals, polymers, ceramics, as well as their composites and natural materials. Although polymers have shown appropriate primary fixation, they are potential to release monomers in the body which results in inflammation and degradation of implant [1]. Ceramics and bioglasses provide higher biocompatibility and compression strength compared to biopolymers; however, they suf-

fer from low fracture toughness and higher elastic modulus compared to bone. Ceramics, in the form of nanoparticles, are employed in polymeric matrix in order to fabricate composites that benefit from advantages of both ceramics and polymers at the same time [2-4]. Biometals, such as stainless steel and cobalt chromium alloy, have high mechanical properties but they release nickel and are potential to cause allergic response and adverse reaction due to corrosion [5].

Compared with other biometals used as implants, titanium and its alloys have recently attracted attention [6] as they provide great biocompatibility in terms of low ion release [7], excellent corrosion resistance [8], great mechanical properties in terms of high hardness, low elastic modulus and low density [9-14]. Surface characteristics initiate from

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presence of a native oxide layer on the surface. When titanium is exposed to air, a layer of titania ( $\text{TiO}_2$ ) with thickness of 2–5 nm is formed on its surface that protects the bulk material from corrosion [15] and makes it bioinert [16]. However, in minor cases they are encapsulated by fibrous tissue in vivo and lack osteointegrity [17]. In addition low pH and presence of lipopolysaccharide in saliva enhances corrosion rate of titanium dental implant [18]. In order to develop bioactivity and osteointegration, different surface modifications have been performed.

Anodization technique of titanium leading to the formation of Titanium Oxide Nanotubes (TNTs) on the surface has attracted much attention lately [19-25]. The anodized TNT surface possesses promising potentials for biomedical application [26], since it has shown to be able to increase osteoblast cell adhesion and desirable functions [19-21], increase growth of hydroxyapatite, [27, 28] and influence cellular behavior to enhance tissue integration [29].

In this study, different mechanisms of formation of TNT are reviewed and anodization technique is elaborated as the most investigated method. Cellular response to TNTs, with an emphasis on bone cells behavior, is taken into consideration. It is discussed how cellular response can be controlled by different parameters including crystallinity, roughness, wettability and TNTs dimension. Anodization of titanium alloys is briefly explained and finally success of nanotubular implants in in vivo experiments and their potential for drug release purpose is overviewed.

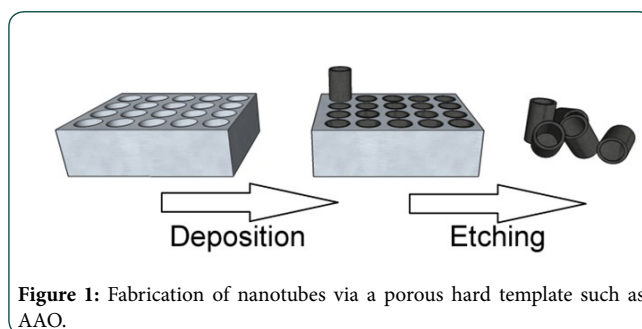
## Nanotube Development

In order to develop bioactivity and osteointegration, different surface modifications of titanium have been done including hydroxyapatite and calcium phosphate coating [30]. However these coatings result in delamination at hydroxyapatite and titanium interface because of difference in mechanical moduli [31]. Later studies demonstrated that early healing of pre-implant soft tissue is affected by topography of titanium surface [32]. Recently surface of implants have been modified by taking advantage of nanotechnology. Titanium nanostructured surfaces provide more surface area for protein adsorption and as a result more cellular interaction [33]. Being integrated with bulk substrate, they also prevent delamination deficiency [34] and improve osteointegration of the implant.

## Fabrication of $\text{TiO}_2$ nanotubes

### Assisted-template method

Assisted-template method is performed either by positive or negative templates. A positive template is used for coating oxide layer on the outer surface of template while a negative template is used to coat its inside porosities [35]. For both types of templates, Anodic Aluminum Oxide (AAO) membrane is commonly used as template which holds scattered cylindrical pores with uniform dimensions in its structure (Figure 1) [36-39].



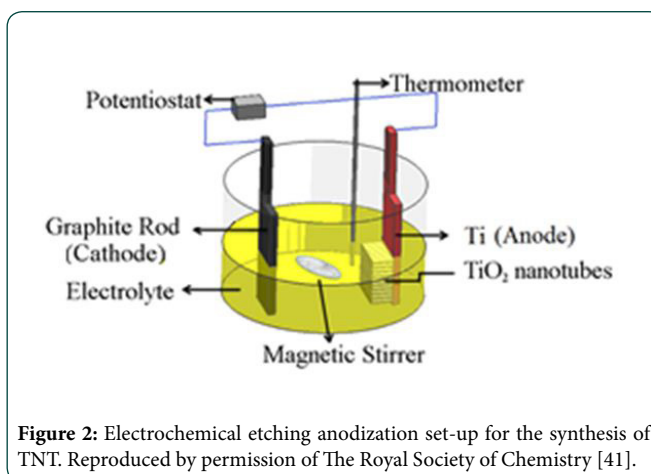
**Figure 1:** Fabrication of nanotubes via a porous hard template such as AAO.

### Hydrothermal treatment

Hydrothermal treatment method is started by NaOH treatment of  $\text{TiO}_2$  nanoparticles. Electrostatic repulsion of the charge on sodium results in extension of  $\text{TiO}_2$  nanoparticles to form nano-sheets. After washing with HCl, electrostatic charges are removed and sheets scroll to become  $\text{TiO}_2$  nanotubes [37]. A major advantage of this method is obtaining pure phase  $\text{TiO}_2$  nanotubes with good crystallinity [36]. Disadvantages include long reaction times and the application of NaOH, which can cause production of nanotubes that are in powder form of random alignment [36, 37].

### Anodization

In this review we have focused on anodization as the most investigated method of fabricating nanotubes since it has shown to be well promising in order to enhance desirable surface characteristics and cellular response. Through this method, surface of the material is modified by formation of nanotubes while the bulk material is employed as anode of an electrochemical cell (Figure 2). Advantages of this method include production of nanotubes with ordered alignment, high aspect ratio and possibility of controlling TNTs dimensions by varying the anodization conditions [36,37]. Anodization of pure titanium leads to formation of a uniform nanotubular layer on the substrate. When titanium alloys,  $\text{Ti}_6\text{Al}_7\text{Nb}$  and  $\text{Ti}_6\text{Al}_4\text{V}$  are anodized, the nanotubular structure is produced on alpha phase (Al-rich phase) with diameter of 100 nm and spacing of 50 nm. However, the beta phase (Nb-rich phase of  $\text{Ti}_6\text{Al}_7\text{Nb}$  and V-rich phase of  $\text{Ti}_6\text{Al}_4\text{V}$ ) behaves differently for these alloys during anodization. Beta phase of  $\text{Ti}_6\text{Al}_7\text{Nb}$  produces nanotubes with diameter of 50 nm while beta phase of  $\text{Ti}_6\text{Al}_4\text{V}$  is dissolved [40].



**Figure 2:** Electrochemical etching anodization set-up for the synthesis of TNT. Reproduced by permission of The Royal Society of Chemistry [41].

## Mechanism of nanotube formation during anodization

When valve metals are used as an anode of electrochemical cell different oxide layer structures may be formed depending on anodization condition. These morphologies include electropolished surface, compact anodic oxides, rapid (disorganized) oxide nanotube and ordered nanoporous or nanotubular layers. Therefore only by establishing particular conditions, anodization can be applied to produce ordered porous layer or aligned nanotube structure on some of transition metals including Ti. When conditions are proper for nanotube formation, anodization begins by dissolution of valve metal in electrolyte as cation [42]. The dissolved cation reacts with O<sub>2</sub> from electrolyte and forms an oxide layer which is deposited on metal surface. Oxide formation continues at metal-oxide interface. As the thickness of oxide layer increases the field decreases and consequently the process is stopped. Therefore the thickness of compact layer is dependent on applied voltage. During the next stage, pores are formed on the surface of compact oxide layer that gradually grow into tubular shape (Figure 3). Since nanotube wall boundaries are etched the tubes become separated. As the anodization duration increases tubes are elongated. Finally a steady state condition is established under which a competition exists between oxide formation at the bottom of tubes and cation dissolution at electrolyte interface. Length remains constant under steady state condition [6, 43, 44].

Several reasons have been hypothesized for formation of tubular structure from compact oxide layer. One hypothesis explains that the surface fluctuation that exists on compact oxide layer enhances the electrical field. As a result transportation of ions is accelerated in these regions and field enhanced dissolution causes formation of pores on compact oxide layer. Another hypothesis is based on volume expansion when metal transforms to oxide. Increase of volume causes stress at the interface of metal/oxide that leads to upward flow of oxide and formation of nanotubes. Local acidity in the tubes is also assumed as a factor that enhances tubular formation [43, 44].

Presence of fluoride ion in electrolyte greatly affects the produced surface structure. Low concentration of fluoride in electrolyte leads to formation of compact oxide layer. Fluoride ion causes chemical etching of the oxide layer and its presence at intermediate concentration is required for TiO<sub>2</sub> tubular formation from compact oxide. However, it also dissolves Ti<sup>4+</sup> and forms TiF<sub>6</sub> complex in water. Therefore, when its concentration is high, the surface is electro-polished. Having small ionic radius, fluoride migrates faster than O<sub>2</sub> in oxide layer and accumulates in oxide/metal interface. During plastic flow of oxide to form tubular structure, accumulated fluoride moves to tube wall boundaries. Later fluoride ion causes etching of boundaries and separation of tubes [45].

In fluoride containing electrolytes, the top part of TiO<sub>2</sub> nanotubes is gradually etched and as a result a V-shaped profile is created. Also hexagonal porous structure of the base of nanotubes transforms into tubular structure at the top cross-section. Application of non-aqueous electrolytes such as glycerol or ethylene glycol leads to formation of highly ordered

TiO<sub>2</sub> nanotubes. The highest order is achieved under maximum current. Several other valve metals, such as Ta, Hf, Mg, Fe, W, Nb, and Zr can be formed into organized nanotubular or nanoporous structures by applying principles used for formation of TiO<sub>2</sub> nanotubes. The nanostructure produced on the metals is amorphous which can be annealed to form crystalline phase [43, 44].

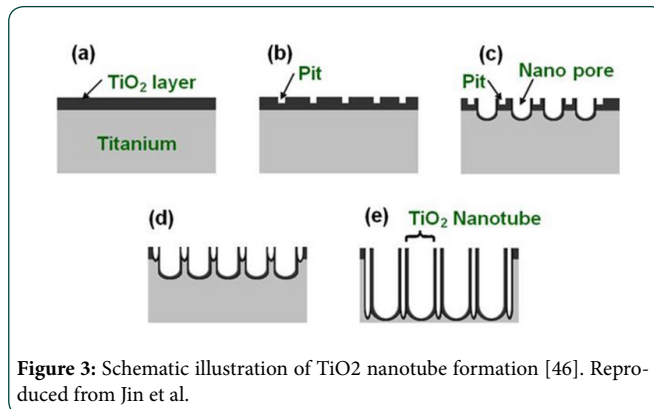


Figure 3: Schematic illustration of TiO<sub>2</sub> nanotube formation [46]. Reproduced from Jin et al.

## In Vivo Performance of Surface With Nanotube Cellular response to TiO<sub>2</sub> nanotubes

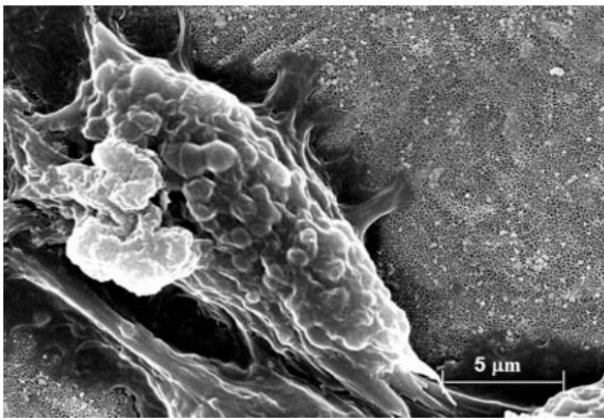
As a biomaterial is exposed to in vitro condition or in vivo physiologic environment, proteins of cell culture media or body fluids adsorb to its surface in less than a second. The adsorbed protein functional groups (ligands), interact with surface receptors of the cells (integrins) [47]. Desirable cellular response of different cell lines is increased on TNT surfaces compared to flat machined surface. Such enhancement is due to increase of surface area that provides more area for cell-substrate interaction, more surface energy, more protein adsorption and as a result higher cell adhesion [9, 34, 48-51]. In addition, nano-topography of surface mimics natural environment for cells and provides integrin clustering. In the human body, bone cells interact with the fluid that flows around them in interstitial spaces [52]. Presence of space between tubes can be helpful for transport of waste and nutrients and therefore cell metabolism [34]. Wettability is also sharply increased after anodization of flat titanium which enhances cell adhesion [53]. Hydroxyapatite adhesion is higher on TNTs surfaces compared with non-anodized surfaces [54]. The mechanical interlocking between the hydroxyapatite coating and the nanotubular titanium oxide layer improves cell adhesion. Hydroxyapatite formation increases as thickness of oxide layer increases and it is higher on crystalline structure compared to amorphous structure [27]. In several studies osteoblast cells and mesenchymal stem cells behavior on TNTs have been investigated. The effect of other factors including nanotubes diameter, crystallinity and wettability is also verified as discussed in following sections.

### Osteoblast cells

Behavior of osteoblasts cells on the TiO<sub>2</sub> nanotubes is improved compared to the non-anodized titanium surface. Increase in surface roughness, increases hydrophilicity and surface energy and as a result improves bone-cell interaction.



Experiments also show that filopodia of the osteoblasts grow into nanotube porosities and provides an integrated structure (Figure 4) [53]. Effect of nanotube structure on attachment, growth and differentiation of human osteoblast is investigated by 3-(4,5-Dimethylthiazol-2-Yl)-2,5-Diphenyltetrazolium Bromide (MTT) assay and Alkaline phosphatase (ALP) measurement in previous experiments. Results show that surface modification increases cell adhesion, cell proliferation and osteoblast expression. Cells seeded on nanotube structure show filamentous network structure and formation of nodules and increased Extracellular Matrix (ECM) [10]. The possibility of enhancing the TiO<sub>2</sub> nanotubes bioactivity is verified by exposure to NaOH solution. The findings indicate that the sodium titanate nanostructure formed on the edge of the anodized TiO<sub>2</sub> nanotubes can increase in vitro hydroxyapatite formation [48].



**Figure 4:** Human osteoblast cell attaches to nanotubular surface while filopodia penetrates into porosities as anchorage sites [10]. Reproduced with permission from John Wiley and Sons Inc.

### Mesenchymal stem cell

Being derived from bone marrow, Mesenchymal Stem Cells (MSCs) are pluripotent cells that have the potential to differentiate into different cell types including osteoblasts [55]. It is shown that nanotubes with size range between 15 to 30 nm provide proper substrate for MSC interaction. An increase of focal contact formation was observed on nanotubes smaller than 30 nm and increased cell proliferation and osteoblast differentiation was observed on 15 nm nanotubes. Also differentiation of Hematopoietic Stem Cells (HSC) into osteoclasts increased in nanotubes below 30 nm. Similar observations were found from differentiation of MSCs to osteoblasts [53].

Thus the diameter of nanotubes drastically affects cellular response. Previous studies conclude that stem cells dramatically respond to change in size of TiO<sub>2</sub> nanotubes in range of 15 to 100 nm. More importantly they suggest that small nanotubes having diameter less than 30 nm, increase cell adhesion, proliferation, migration and integrin clustering/focal contact formation. These reactions tend to decline significantly with increasing pore size [49, 56-59].

Experiments by Schumki et al. led to the conclusion that differentiation, protein aggregation, lamellipodia extension and filopodia extension was higher on smaller nanotubes

[49, 57-59]. However, a recent study concludes that differentiation, protein aggregation, lamellipodia extension and filopodia extension increases as nanotube diameter increases [56]. Authors of this study assume that on 100 nm nanotubes, hMSCs need to struggle to find TiO<sub>2</sub> region where more protein aggregates have been deposited. Therefore they form more elongated shape and their filopodia is extended. These results are compatible with results of McBeath et al. who reported that decreasing cell density increases osteoblastic differentiation. These data are also compatible with the hypothesized concept that increasing physical stress increases stem cell differentiation.

Bauer et al. investigated the effect of change in dimension of nanotubes on MSCs response attachment and proliferation. They concluded that change in size is more effective on cell response compared to change in surface chemistry and length size [36].

### Chondrocyte

Similar to osteoblasts, chondrocytes attachment on anodized TiO<sub>2</sub> nanotubes increases compared with unanodized Ti. Nanotubular structure increases surface area and initial protein adsorption, therefore interaction and adhesion of chondrocyte is increased. Glycosaminoglycan secretion in the culture medium is reported to increase and chondrogenic markers such as aggrecan and collagen type II are also shown in higher level. Although the cells produced dense ECM fibrils, they retained their circular morphology [34, 60].

### Fibroblast and keratinocyte

Biomaterials that are implanted as transcutaneous device interact with both the fibroblasts of dermal (internal) layer of the skin, and keratinocytes of the epidermal (external) layer. The responses of fibroblasts and keratinocytes on TiO<sub>2</sub> nanotubes have been investigated to verify its potential for transcutaneous application. Studies indicate that the nanotube topography provides a proper substrate for interaction of fibroblasts cells but not for keratinocytes cells [61,62].

Compared to the smooth titanium substrate, adhesion of fibroblasts is increased on nanotubular surface while keratinocytes is decreased. Similarly MTT assays show increases of cell proliferation rate for fibroblasts but decreased of proliferation for keratinocytes on TiO<sub>2</sub> nanotubes substrate compared with the smooth substrate. In addition, cytoskeleton reorganization improvement and membrane protein expressions were observed for fibroblasts cells while keratinocytes cells showed lack of cytoskeleton reorganization [61, 62]. Indirect immunofluorescence staining characterizing was performed for specific marker proteins to investigate cell proliferation. The results show an increase in specific marker expression of fibroblasts cells and decrease in specific marker of keratinocytes [61, 62].

### Fibroblast and keratinocyte

TiO<sub>2</sub> nanotube structure has the potential to be used as a vascular stent material. As a vascular stent device, a biomaterial interacts with smooth muscle cells and endothelial cells.

Endothelial cell growth is enhanced on nanotubular morphology while MOVAS smooth muscle cell show little tendency to proliferate. Nanotubes maintain the differentiated state of muscle cells and their non-proliferative phenotype while they allow arranged changes in endothelial cell locomotion, cytoskeleton organization and cell-to-cell communication [63]. In order to assess thrombogenicity nanotubular surface, the release of nitrogen oxide and endothelin-1 is investigated in presence of nanotubular structure. Nitrogen oxide causes vasodilatation and inhibits platelet aggregation while endothelin-1 causes vasoconstriction and enhances platelet aggregation. The results show that nitrogen oxide and endothelin-1 release are balanced in a way that nanotubular structure have antithrombotic effect [63].

Schmuki et al [64] assessed differentiation of mesenchymal cells to endothelial cells and smooth muscle cells on TiO<sub>2</sub> nanotubes. In agreement with their previous studies, they concluded that 15 nm diameter maximizes differentiation of mesenchymal cells to endothelial cells and smooth muscle cells.

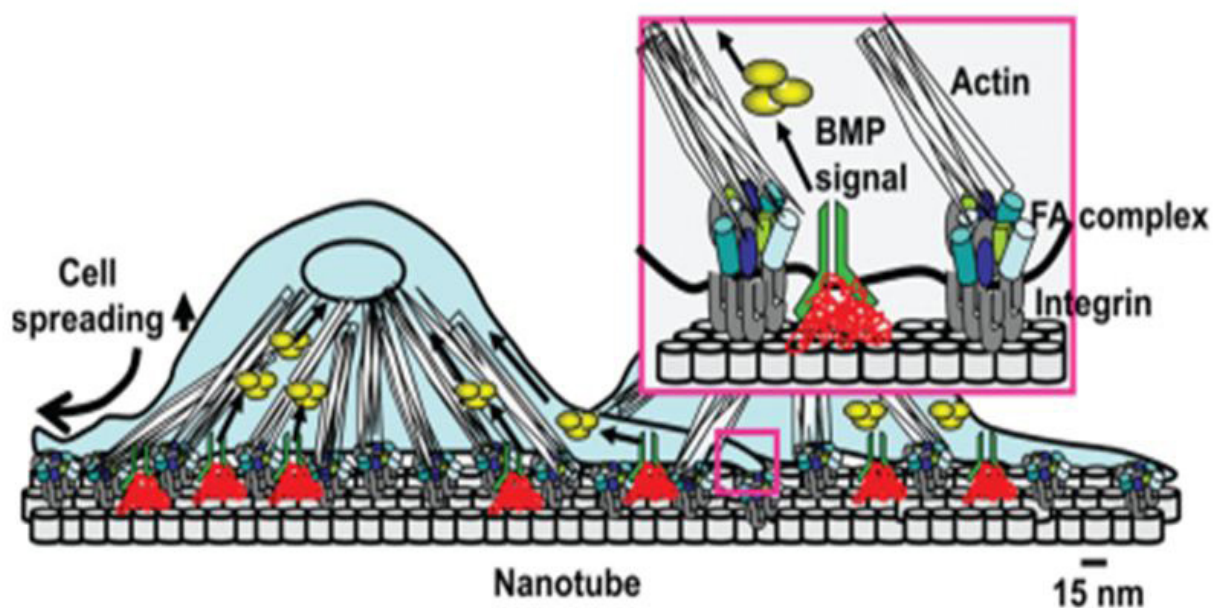
### Antibacterial effects

Anodization of pure titanium and Ti6Al4V alloy surfaces, results in decrease of bacterial attachment and biofilm formation compared to non-anodized surfaces in vitro and in vivo. Application of higher voltages leads to enhanced antibacterial effects. Treatment with high voltage also results in increased proliferation of osteoblasts and fibroblasts [65]. The most robust antibacterial response of TNT surface is reported to be achieved on 80 nm diameter nanotubes after heat treatment [66]. Antibacterial property of TNTs is enhanced follow-

ing exposure to UV light illumination [67]. In addition, TNTs can be loaded with antibiotics in order to further reduce bacterial adhesion [68].

### Effect of anodization parameters

**Effect of diameter:** Diameter of the nanotubes drastically affects cellular response. Biochemical response of cells on nanotubular surface is dependent on how they are stimulated mechanically by nanotubes. Diameter of nanotubes defines position of transmembrane integrins of attached cells. Integrins transmit the force to actin filaments and cause cytoskeletal tension and consequently cell morphology and signaling is affected [69]. Several studies have investigated the effect of variation of nanotubes diameters in the range of 15 to 100 nm on biological response. Although results of most studies show that adhesion of osteoblasts is higher on smaller nanotubes, contradictory results are reported for proliferation, ALP activity and other cell responses (Table 1). Yu et al. evaluated effect of change in diameter of anatase-TiO<sub>2</sub> nanotube layers produced by anodization on adhesion, proliferation and differentiation of MC3T3-E1 preosteoblasts. The cell proliferation increased with increasing diameter of nanotubes. According to cell adhesion and ALP activity it was concluded that tubes with diameter of 20–70 nm provide better condition for integrin clustering [70]. Another study showed that greatest degree of cell adhesion and proliferation happens on 30 nm TiO<sub>2</sub> nanotubes and increasing the diameter up to 70–100 nm decreases proliferation and cells show elongated shape and higher ALP levels (Figure 5) [34]. Effect of length of the nanotubes on cellular response is not explored as much as effect of tubes diameter. Tube length does not seem to affect the cell behavior [58].



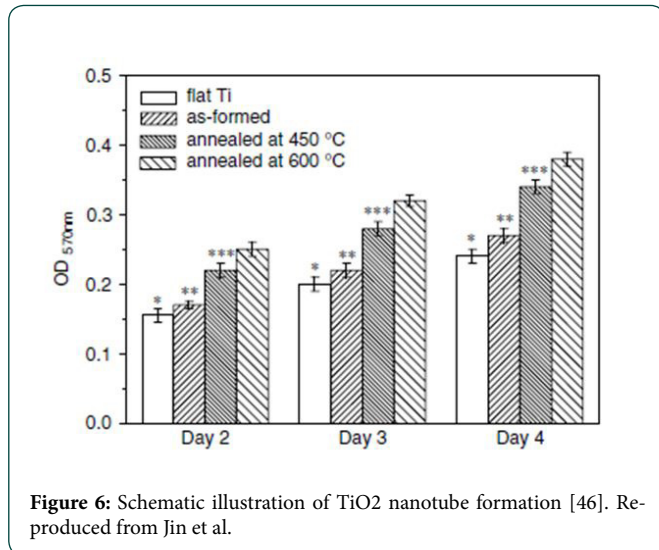
**Figure 5:** Clustering of integrins, formation of focal adhesions, MSCs spreading, actin polymerization, and osteogenic differentiation are increased on a nanotubular surface with 15 nm lateral spacing, in presence of BMP-2 signaling [59]. Reproduced with permission from John Wiley and Sons Inc.

Anodization Condition	"Annealing Conditions"	Cell Type	Cell Culture Duration	Effect of TNT Size	Ref
"Anode: Titanium foil Cathode: Platinum Electrolyte: 1M H <sub>3</sub> PO <sub>4</sub> and 0.5 wt % HF Duration: 3h Voltage: 5 to 25 V"	450 °C for 3 h	MC3T3-E1	2h before Cell adhesion. 24, 48, and 96h before MTT. 1, 2, and 3 weeks for ALP activity. 3 week before Alizarin R-staining.	Diameter of 20–70 nm enhanced cell adhesion, alkaline phosphatase activity, and mineralization. The proliferation increased with increasing tube diameter from 20 to 120 nm.	[70]
"Anode: Titanium foil Cathode: Platinum Electrolyte: 1:7 volumetric ratio of acetic acid to 0.5% w/v hydrofluoric acid in water Duration: 30 min Voltage: 5, 10, 15 and 20 V"	500 °C for 2 h	MC3T3-E1	2h, 12h, 24h, 48h, 72h and 7d for cell counting. 24h and 48h for MTT and ALP.	30 nm nanotubes enhanced osteoblast adhesion, while 70–100 nm nanotubes provide a lower population of cells with elongated cellular morphology and enhanced alkaline phosphatase levels.	[34]
"Anode: Titanium sheet Cathode: Platinum Electrolyte: 0.5 wt % hydrofluoric acid and acetic acid volumetric ratio 7:1 acid in water Duration: 30 min Voltage: 5, 10, 15 and 20 V"	500 °C for 2 h	hMSCs	2h, 48h for Cell adhesion. 3 weeks for osteogenetic markers microscopy.	30 nm diameter nanotubes enhanced adhesion, while 70 to 100 nm diameter nanotubes show stem cell elongation and selective differentiation into osteoblast-like cells.	[56]
"Anode: Titanium sheet Cathode: Platinum Electrolyte: 1 M H <sub>3</sub> PO <sub>4</sub> with addition of 0.3 wt% HF Duration: 1h Voltage: 1 V up to 20 V"		hematopoietic stem cells (HSCs), human osteoblast-like	2h, 48h for Cell adhesion. 3 weeks for osteogenetic markers microscopy.	Diameters between 15 and 100 nm were verified. 15 nm supports HSCs differentiation into osteoclasts, adhesion and osteoblast proliferation.	[57]
"Anode: Titanium foil Cathode: Platinum Electrolyte: 1 M H <sub>3</sub> PO <sub>4</sub> with addition of 0.3 wt% HF Voltage: 1 V up to 20 V"		Rat mesenchymal stem cells	2 weeks before analysis by immunocytochemistry. 3 and 6 days before cell counting.	Diameter less than 30 nm with a maximum at 15 nm enhanced integrin clustering/focal contact formation and cellular activities.	[49]
"Anode: Zirconium and titanium foils Cathode: Platinum Electrolyte: For Zr, 1 M (NH <sub>4</sub> ) <sub>2</sub> SO <sub>4</sub> with the addition of 0.15 M NH <sub>4</sub> F. For Ti, 1 M H <sub>3</sub> PO <sub>4</sub> with the addition of 0.125 M HF"		Rat mesenchymal stem cells	1 day before cell adhesion and 3 days before cell proliferation.	Both materials provide enhanced cell adhesion and proliferation with nanotube diameters of 15–30 nm.	[58]
"Anode: Titanium foils Cathode: platinum Electrolyte: 1 m H <sub>3</sub> PO <sub>4</sub> with addition of 0.12 M HF Voltage: 1 and 20 V"		mesenchymal stem cells	24h for cell counting. 2 weeks in differentiation medium before immunocytochemistry.	Differentiation is enhanced on 15 nm but not on 100 nm BMP-2-coated nanotubes.	[59]

**Table 1:** Effect of nanotube diameter on cellular behavior



**Effect of crystallinity:** Crystallinity, the degree of structural order, is a surface factor that affects cell behavior. Under most anodization conditions as formed TiO<sub>2</sub> nanotube have amorphous structure. Annealing at 450 °C and 600 °C for 3h leads to formation of different crystalline phases of anatase and rutile respectively [71-73]. Relative amount of anatase formation is higher for the samples anodized with a higher voltage compared to the samples anodized at lower voltage [65]. Crystallized phase of substrate increases hydrophilicity [74]; and consequently, enhances desirable responses of cells cultured on it [75]. MC3T3-E1 preosteoblasts activity and tendency to spread increases as nanotubes amorphous structure changes to pure anatase and is maximized when pure anatase transforms to anatase-rutile. Not only cell proliferation increases with increasing annealing temperature but also apatite mineralization and corrosion-resistance is maximized on rutile structure (Figure 6) [9, 76]. Highest amount of filopodia extension occurs on anatase structure [76] while filopodia formation is maximized on anatase [9]. Cell adhesion increases as amount of present fluoride increases. Annealing nanotubes decreases the amount of fluoride and cell numbers [64].



**Figure 6:** Schematic illustration of TiO<sub>2</sub> nanotube formation [46]. Reproduced from Jin et al.

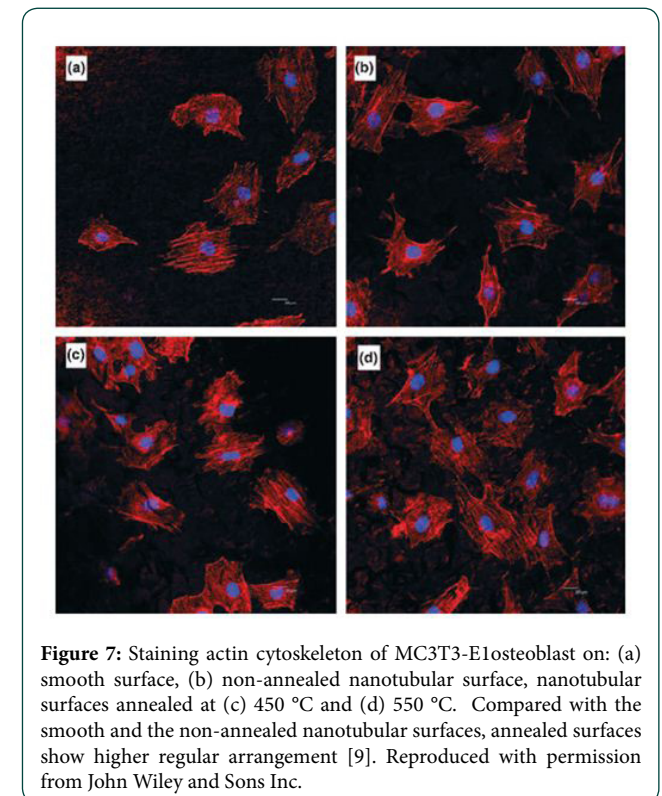
Transformation from amorphous to anatase structure slightly increases Yang modulus and hardness while transformation from anatase to rutile sharply increases these mechanical properties. Since high hardness and low Yang modulus is desirable for biomedical application, an anatase/rutile structure is suggested to be utilized to optimize mechanical properties [76]. Yang modulus is also influenced by diameter and wall thickness of nanotubes [22].

**Effect of roughness:** Roughness is increased on nanotube structure compared to smooth titanium as measured by AFM [9]. Effect of surface topography is shown to be higher compared to crystallinity and surface chemistry [64]. Increasing voltage of anodization slightly increases surface roughness and biological response is affected to some extent by surface roughness variance in nano scale [65].

Roughened surface of titanium in micro scale, compared to flat surface, is anticipated to provide mechanical interlocking for long time. In addition cell functions such as cell

adhesion and gene expression are promoted after acid etching [77]. The micro-nano scale structure produced by anodization of roughened titanium surface mimics structure of natural bone and has shown to increase hydroxyapatite formation and protein adsorption [78].

**Effect of wettability:** Wettability is another factor that affects osteoblast behavior. Water contact angle is decreased after anodization [65]. Surface of titanium becomes hydrophilic after anodization and hydrophilicity further increases when anodized surface is annealed. Interestingly, nanotubular surface loses part of its hydrophilicity when it is exposed to air for a period of three months. Ambient atmosphere affects wettability probably through alkane contamination and organic contaminants [79]. Super-hydrophilic TiO<sub>2</sub> nanotube become hydrophobic when coated with a monolayer of octadecylphosphonic acid. Comparison of mesenchymal stem cells adhesion, spreading and growth on the unmodified nanotubes with modified nanotubes shows that coating diminishes effect of tube diameter and hydrophobicity causes decrease of proliferation [80].



**Figure 7:** Staining actin cytoskeleton of MC3T3-E1osteoblast on: (a) smooth surface, (b) non-annealed nanotubular surface, nanotubular surfaces annealed at (c) 450 °C and (d) 550 °C. Compared with the smooth and the non-annealed nanotubular surfaces, annealed surfaces show higher regular arrangement [9]. Reproduced with permission from John Wiley and Sons Inc.

## Controlling TNTs Dimensions

TNT dimensions can be controlled by optimizing different parameters including electrolyte composition, electrolyte pH, type of electrolyte, voltage magnitude and anodization duration [34, 81-83]. Also agitation speed of electrolyte, temperature and the ratio of cathode-to-anode surface area affect morphology of TNTs [84]. Diameter of TNTs increases as either applied voltage or anodization duration increases (Figure 8). Length of TNTs can be increased decreasing acidity and fluoride concentration [44]. As pH is increased the time taken for nanotube formation increases; therefore, fabricated nanotubes are longer [85]. Anodization duration slightly af-

V \ Time	4h ( $\mu\text{m}$ )	8h ( $\mu\text{m}$ )	16h ( $\mu\text{m}$ )
20 V	0.589	1.07	1.39
40 V	1.443	4.53	6.11
60 V	5.493	6.75	10.08

Table 2: Effect of anodization time and voltage on TNT length.

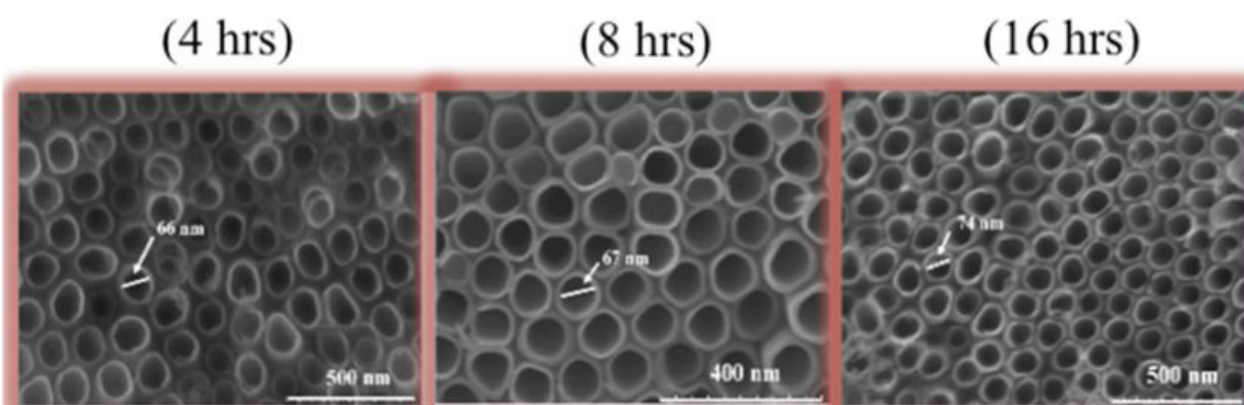


Figure 8: SEM images of TNT fabricated during varying durations [88].

fects diameter but length of TNTs is gradually increased over time before a steady state condition is established under which length remains constant (Table 2). As fluoride ion concentration increases in electrolyte solution, dissolution rate of the oxide wall is enhanced and the wall thickness is decreased [86]. Length of the nanotube fabricated at different conditions varies from 200 nm to 360 nm, the wall thickness of the nanotube changes from 5 nm to 34 nm, and tube diameter varies from 12 nm to 180 nm [87].

## Electrolyte composition

The various electrolytes used for nanotubes fabrication are categorized into three groups: (i) acidic aqueous solution containing fluoride ion, (ii) buffered aqueous solution and (iii) non-aqueous solution containing fluoride ion and in some cases a low amount of water [6]. When non-aqueous electrolyte (organic electrolyte) is employed, longer nanotubes are formed over a longer period of time compared to aqueous electrolyte. This is because an organic electrolyte contains less amount of oxygen compared with an aqueous solution and chemical dissolution of oxide is dependent on the water content [89]. Length of TNTs fabricated in ethylene glycol is maximized and reaches up to 45  $\mu\text{m}$  when solution contains 2 vol% H<sub>2</sub>O, 0.2 wt% NH<sub>4</sub>F and 60 V is applied for 18h [90]. Variation in amounts of H<sub>2</sub>O and NH<sub>4</sub>F caused decrease of length.

Increasing anodization duration up to 18h elongates tubes but no significant increase in length is observed after 18h. In another study the electrolyte consisted of 98 vol% ethylene glycol, 2 vol% deionized water and 0.25 wt% NH<sub>4</sub>HF<sub>2</sub>. Anodization was performed for 8, 18 and 30h at 70 V. The nanotube length is maximized at 18h to 6.5  $\mu\text{m}$

[91]. Schmuki et al. investigated electrolytes of ethylene glycol containing less than 0.2 wt% H<sub>2</sub>O and HF. They concluded that length of nanotubes is maximized at 120 V, 0.2 mol/l HF and 15h. Increasing voltage or HF concentration leads to electro-polishing. In the above mentioned conditions tube length of 261  $\mu\text{m}$  with an internal diameter of 70 nm and external diameter of 160 nm is obtained [92].

## Nanotube Development on Zirconium Surface

### Zirconium versus titanium

Although pure zirconium is potential for providing proper interaction with cells, it has not been explored as much as titanium. Zirconium has enormous potential applications in the field of biomedical implants [93]. Biocompatibility and corrosion resistance of specific zirconium alloys are proper as well as titanium alloys and the mechanical properties of zirconium alloys compared to Ti6Al4V alloy have been observed to be higher [94]. Titanium alloys that contain zirconium show better tensile and fatigue strength than pure titanium [95]. When titanium is exposed to body fluids such as saliva, it undergoes electrochemical corrosion. Consequently, ions are released from the surface of biomaterial. In contrary, zirconium does



not show undesirable electrochemical characteristics. Also zirconium color is similar to tooth while titanium has a gray shine [96].

In a comparative animal study, zirconium implants demonstrated identical osseointegration as titanium implants. In addition, no significant difference was observed between healing of the tissues interacting with the materials [96].

## ZrO<sub>2</sub> nanotube formation

Since presence of nanostructure on implant surface is shown to enhance desirable cellular response, fabrication of ZrO<sub>2</sub> nanotubes by anodization is studied, and the influence of various electrochemical factors have been evaluated including potential of power source and its sweep rate, electrolyte composition and anodization time [93]. Pre-anodization of zirconium is reported to be beneficent to form highly ordered ZrO<sub>2</sub> nanotubes. Also ZrO<sub>2</sub> nanotube structures that are fabricated on electropolished zirconium show more uniformity [93]. When organic electrolytes are employed for anodization, the nanostructure formed is thicker, more regular and less wavy [97-100].

Microstructure of substrate influences anodic oxidizations and eventually affects fabrication of ZrO<sub>2</sub> nanotubes. Following surface mechanical attrition treatment, commercially pure zirconium has nanocrystallized surface layers with high density of grain boundaries compared with non-treated zirconium. Nanocrystallized zirconium is beneficent to the formation of ZrO<sub>2</sub> nanotubes and grain boundaries are effective in accelerating reaction rate. ZrO<sub>2</sub> nanotube layer formed on the treated zirconium is considerably thicker than that formed on the non-treated zirconium. Thickness increases also with increase in anodization duration and follows a parabolic function [93].

The SEM images show that nanotubes are gradually formed on the flat surface similar to formation of TiO<sub>2</sub> nanotubes. Self-organization is suggested to be product of competition between growing pores and elsewhere is suggested to be result of local surface perturbations. Localized dissolution of ZrO<sub>2</sub> causes formation of pores and reduction of oxide layer thickness. As a result, the electrical field intensity is increased at the base of pore and creation of new oxide is induced. In addition, similar to growth mechanism of nanotube on titanium, ZrO<sub>2</sub> nanotube formation in electrolytes that contain fluoride is the outcome of a competition between oxide formation and its chemical dissolution by fluoride ions [93].

Different elements that are present in Ti<sub>15</sub>Nb<sub>4</sub>Ta<sub>4</sub>Zr (TNTZ) alloy have different electrochemical oxidation rates. Therefore reaction rate of TNTZ anodization depends on its composition. Different sizes of self-organized nanotubes are formed on the surface. Eight tubes with small diameter surround a tube of larger diameter [101]. Anodization of Ti<sub>28</sub>Zr<sub>8</sub>Nb alloy leads to formation of tubes with 98 nm diameter that surround a larger tube of 175 nm diameter [102]. Nanotubes that formed on TiZr alloy exhibit uniform arrays; however, as the zirconium content was increased the diameter of the tubes decreased and the length increased [103].

## ZrO<sub>2</sub> nanotube formation

For the osteoblasts cells cultured on ZrO<sub>2</sub> nanotubes the initial adhesion, spreading, growth, functionality in terms of alkaline phosphatase activity and the formation of extracellular matrix is reported to considerably improve as compared with smooth zirconium surface. The cells attached on the nanotube surface demonstrated a high cytoskeleton organization, which was lacking on the flat zirconium [94]. Mesenchymal stem cells respond identical to ZrO<sub>2</sub> nanotubes, TiO<sub>2</sub> nanotubes and AuPd-coated TiO<sub>2</sub> nanotubes. Cell response is chiefly based on nano-topographical features rather than a certain surface chemistry related to TiO<sub>2</sub> [94].

## Bone Implant Contact (BIC) and Bone Mineral Deposition (BMD)

Frandsen et al. compared osseointegration of titanium-zirconium (TiZr) with pure titanium and Ti6Al4V alloy. Although the formation of new bone inside the implant grooves increased over time regardless of the implant material; however, the amount of Bone to Implant Contact (BIC) was shown to be a function of the implant material. For TiZr and pure titanium implants, the BIC increased gradually but for Ti6Al4V implants the BIC peaked after 2 weeks followed by a decline after 8 weeks. On surface of Ti6Al4V implants, considerably more coverage by multinucleated giant cells was observed. Briefly, TiZr and pure titanium implants showed earlier osseointegration compared with Ti6Al4V implants. Maturation of bone marrow next to Ti6Al4V implants was observed to be less advanced compared to TiZr and pure titanium implants [94]. Lack of considerable difference between BIC of titanium and zirconium were also detected in another in vivo study while BIC was affected by roughness. A considerably higher BIC was observed for zirconium implants with regular roughness compared with low and high roughness implants [96]. In addition, bone mineral density (BMD) around TNTZ alloy is observed to be similar to Ti6Al4V [104].

## Bone Implant Contact (BIC) and Bone Mineral Deposition (BMD)

Cellular response can further be improved by application of drug. Local drug delivery is developed in order to overcome systemic side effects and its delivery deficiencies. Many drugs are not effectively delivered via systemic routes. For example when antibiotics such as Neomycin and Gentamicin [105] are taken orally, they are absorbed from the small intestine and inactivated. Bone Morphogenic Protein 2 (BMP-2), as an osteogenic factor, is often delivered intravenously or topically. However, avascular tissue formed after surgery inhibits delivery of drug to implant-tissue interface. Increasing systemic doses to overcome this disadvantage leads to organ toxicity [87].

Drug loading on TNTs is not well-explored yet although drug loading on carbon nanotubes is reported in several studies to be promising for cancer therapy. Carbon nanotubes need to be prefunctionalized through oxidation and pegylation to

become water soluble and biocompatible. Then further functionalization also is needed to attach the drug onto carbon nanotubes and target them toward cancer tissue. After being taken up by the cell, carbon nanotubes release their cargo and later they are eliminated from the body [106-112]. However, application of carbon nanotubes is restricted since they show toxicity and inflammation [113, 114].

Not only TNTs are potential to be loaded with drug and antibacterial agents, but also they are biocompatible and hydrophilic in contrast to carbon nanotubes. Although local drug delivery provides targeted release of drug, control of drug release over time remains to be a challenge. Preventing sudden release of drug after implantation avoids denaturation of drug and enhances its efficiency [115]. Formation of nanotubular structure on machined surfaces increases the amount of loaded BMP-2 and prolongs drug release [116]. TNTs loaded with BMP-2 were coated with multi-layers of gelatin and chitosan to further retard release [115]. Although controlled release of

drug from TNT was successfully achieved through this technique, the polymeric coating that is used in this method prevents cellular interaction with surface nanostructure. When titania nanotube arrays are loaded with polymer micelles as drug carriers [117], surface nanostructure can induce cellular response. Since degradation of the polymer may induce inflammation; it is preferable to avoid its application and change the nanotube dimension for optimizing drug release. Anodization of Ti4Zr22Nb2Sn at different potentials, concentration of NH4F and anodization time shows that longer nanotubes prolong drug release [118]. Release of the antibiotic from elongated TNT formed in an electrolyte based on ethylene glycol is reported to be longer in comparison to release from shorter TNT that are formed in an aqueous solution [91]. The surface of titania nanotubes has a small negative charge due to presence of terminal hydroxyl groups. Therefore positively charged drugs are released slower compared to negatively charged agents [87]. Osteoblast response is also improved when nanotubes are loaded with antibiotics [119].

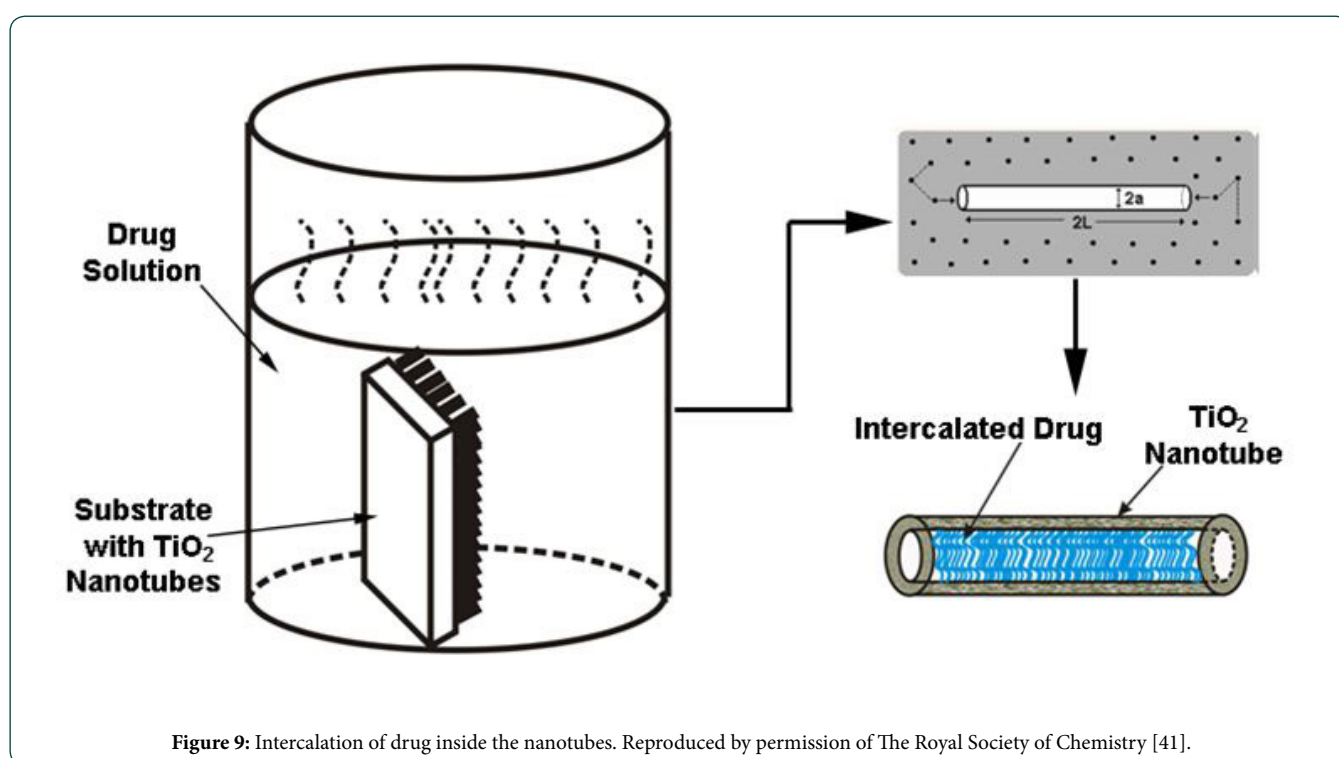


Figure 9: Intercalation of drug inside the nanotubes. Reproduced by permission of The Royal Society of Chemistry [41].

## Summary

Since titanium and zirconium provide several benefits compared to other biomaterials, they are widely used as a biomaterial for fabrication of bone and orthopedic implants. Desirable characteristics of titanium and zirconium alloys include biocompatibility, corrosion resistance and proper mechanical properties. Biocompatibility and corrosion resistance of specific zirconium alloys are as well as titanium alloys and the mechanical properties of zirconium alloys are higher than Ti alloys. Despite all these benefits, metal implants occasionally become loose or infectious after surgery which eventually results in implant failure. In order to overcome this problem, nanotechnology is used to modify the surface and increase osseointegration. Specifically, fabrication of TiO<sub>2</sub> nanotubes

through anodization technique on surface of titanium has shown great potential to promote desirable cellular behavior such as adhesion, proliferation and differentiation. In addition, hydroxyapatite mineralization is increased and bacterial adhesion is decreased on nanotubular surfaces compared with conventional smooth surfaces. Recent studies have successfully optimized properties of nanotubular surfaces to further increase osseointegration. In summary, it is concluded that nanotubes dimensions, heat treatment and drug loading can individually dictates cellular fate.

## Summary

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